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40		Application	Serial Number	10/52	24,539
SEP 2 8 2006)		Filing Date		Septe	ember 28, 2005
		First Named	Inventor	Ranje	eny THOMAS
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		Examiner Na	ame	Unass	signed
FORM		Attorney Do	cket No.	23558	8-006US
		Patent No.	1973	Not a	pplicable
		Issue Date		Not a	pplicable
	ENC	CI OSURES (	check all that apply)		
Fee Transmittal Form			e to File Missing		Request for Certificate of
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☐ Check Attached☐ Copy of Fee		Formal Drawin	no(e)	l	Certificate of Correction (in duplicate)
Transmittal Form		1 Omiai Dia	ig(s)	_	
Amendment/Response		Request For Co	ontinued		Notice of Appeal to Board of Patent Appeals and Interferences
_	<sup>-</sup>	Examination (F	examination (RCE)		
☐ Preliminary ☐ After Final		Transmittal			Appeal Brief (in triplicate)
Affidavits/declaration(s)		Power of Attorney (Revocation of Prior Powers)			Status Inquiry
☐ Letter to Official  Draftsperson	1	(Revocation of	levocation of Phot Powers)		Return Receipt Postcard
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[Total Sheets]		Terminal Discl	aimer		Transmission under 37 C.F.R. 1.8
Petition for Extension of Time (1/2/3 months)					Additional Enclosure(s)
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☐ Information Disclosure		Small Entity Statement			
Statement		<u> </u>			
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Citations	I —	program			
☐ Certified Copy of Priority		Amendment A	fter Allowance		
Document(s)					
Sequence Listing submission					
☐ Paper Copy/CD☐ Computer Readable Copy					
Statement verifying					
identity of above	<u> </u>				
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Proskauer 1001 Pen Suite 400 Washingt Tel. No.: Fax No.:	ton, D.C. 200 (202) 416-6 (202) 416-6	Ave., N.W. 004 5800 5899	Date: September <b>25</b> , Reg. No.: 40,244 Tel. No.: (202) 416- Fax No.: (202) 416-	-6800	Paul M. Booth Attorney for the Applicant(s) Proskauer Rose LLP 1001 Pennsylvania Ave., N.W.
CUSTON	MER NO: 6	1263			Suite 400 Washington, D.C. 20004



Applicant:

Ranjeny THOMAS, et al.

Confirmation No.: 1436

Appl. No.:

10/524,539

Examiner: Unassigned

Filing Date: September 28, 2005

Art Unit: Unassigned

Title:

IMMUNOMODULATING COMPOSITIONS, PROCESSES FOR THEIR

PRODUCTION AND USES THEREFOR

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Listed on the attached Form PTO/SB/08A are documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 CFR §1.56. Enclosed for the Examiner's convenience is a copy of the listed foreign document (abstract only), a Communication from the EPO (dated 03/30/2006) and the European Search Report. These materials are being submitted to comply with the provisions of 37 CFR §1.97-§1.98.

The citation of any document herewith, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

U.S. Serial No.: 10/524,539



CONCLUSION

Applicants respectfully feetest that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

By

Date: September 28, 2006

PROSKAUER ROSE LLP 1001 Pennsylvania Avenue, N.W. Suite 400

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Attorney for Applicant Registration No. 40,244 Customer No. 61263

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/524,539			
Filing Date	September 28, 2005			
First Named Inventor	Ranjeny Thomas			
Art Unit	1645			
Examiner Name	Unassigned			
Attorney Docket Number	23558-006US			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FORE	IGN PATENT DOCU	MENTS		
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		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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Examiner	Date		
Signature	Considered		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known			
Gubstitu	10/10/11/14/07/10			Application Number	10/524,539		
INFO	ORMATION	DIS	CLOSURE	Filing Date	September 8, 2005		
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Ranjeny Thomas		
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	(Use as many sne	ets as n	ecessary)	Examiner Name	Unassigned		
Sheet	2	of	3	Attorney Docket Number	23558-006US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		E. Martin, et al., "Antigen-Specific Suppression of a Primed Immune", , Univ of Queensland, Princess Alexandria Hospital, XP-002347198, pg. 1, Bribane, Queensland, AU.	
		E. Martin, et al., "Inhibition of Relb Function in Dendritic Cells Induces Antigen- Specific Toleranec in Vivo", CICR, XP-002347199, pg. 1, Brisbane, AU.	
		B.J. O'Sullivan, et al., "Keeping T Cells At Bay: Inhibition of NFKB", XP-002372797, pg 1, Univ of Queensland, Pricess Alexandra Hospital, Brisbane, Queensland, Australia.	
		B.J. O'Sullivan, et al., "Maturation and Function of Human Dendritic Cells Can Be Controlled By Relb", XP-002372798, page 1, Centre for Immunology and Cancer Research.	
		Monika Haas,et al., "Effect of proteasome inhibitors on monocytic lkB-a", XP-000857509, March 1998, pp. 395-404, Vol. 63, Journal of Leukocyte Biology.	
		J.X. Gao, et al., "CD40-deficient dendritic cells producing interleukin-10, rejection", Immunology, 1999, pp. 159-170, Vol. 98, Blackwell Science Ltd.	
		Giuseppe Penna, et al., "1-a,25-Dihydroxyvitamin D3, InhibitsCell Activation", The Journal of Immunology, 2000, pp. 2405-2411 The American Association of Immunologists.	
		Satomichi Yoshimura, et al., "Role of NFkB in antigen presentationPSI", Journal of Immunology, 2001, pp. 1883-1893, Vol. 31, Wiley-Vch Verlag GmbH, Weinheim, Germany	
		Georg A. Hollander, et al., "Induction of alloantigen-specific mice", Immunology, 1996, pp. 4994-4998, Vol. 93, Proc. Natl. Acad. Sci. USA.	
		Brendan J. O'Sullivan, et al., "RelB nuclear translocation regulatesfunction", PNAS, XP-002347201,2000, pp. 11421-11426, Vol. 97, Centre for Immunology & Cancer Resrch, AU.	

Examiner	Date		
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (07-05)

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Substitute for form 1449/PTO			Complete if Known			
Gubsiitu	te 10/10/11/ 1440// 10			Application Number	10/524,539	
INF	ORMATION	I DIS	CLOSURE	Filing Date	September 8, 2005	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Ranjeny Thomas	
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Sheet	3	of	3	Attorney Docket Number	23558-006US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Clifford M. Snapper, et al., "B Cells Lacking RelB AreSwitching", The XP-002347202, Journal of Experimental Medicine, 1996, pp. 1537-1541, Vol. 184	
		Angus G. Thompson, et al., "T Cells Signaled by Nf-kBActivation", The Journal of Immunology, 2004, pp. 1671-1680, The American Association of Immunologists, Inc.	
		Jang-lk Lee, et al., "Cyclosporine a InhibitsDendritic Cells", XP-000984802, Transplantation, 1999, pp. 1255-1263, Vol. 68, No. 9, Lippincott Williams & Willkins, Inc.	_
		Ling Zhou, et al., "Inhibition of the CD40 PathwayTriazolopyrimidine", XP-002277919, Clinical Immunology, 1999, pp. 232-238, Vol. 93, Academic Press	
		Ela Martin, et al., "Antigen-Specific Suppression of a Primed Immune Interleukin-10", XP-002347203, Immunity, 2003, pp. 155-167, Vol. 18, Cell Press	

Examiner	Date	
Signature	Considered	

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